



COMPLETING A NAFTA CERTIFICATE OF ORIGIN

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A North American Free Trade Agreement Certificate of Origin is essential to verify that goods qualify for Free Trade under the NAFTA rules and regulations.

- Goods that are included on a shipment but do not qualify for NAFTA should not be listed on a NAFTA certificate.
- The only parties qualified to complete a NAFTA certificate are the producer or exporter of the goods. The Importer cannot complete the NAFTA certificate.
- A NAFTA certificate can be either a one-time or a blanket. A one-time is only good for the shipment it is completed for, while a blanket NAFTA certificate is good for the specified period of time indicated on the certificate, up to a 12 month period. (On both types the date field must be completed.)

Understanding the Different NAFTA Criteria

When a NAFTA certificate is applied to goods, it must qualify under one of the criterion A-F. Below is an explanation of each NAFTA criterion:

- A. The goods were wholly obtained or grown/raised entirely in one or more of the NAFTA territories (Canada, United States or Mexico). Criterion A applies to raw and natural materials such as crops, animals or minerals. This criterion almost never applies to industrial goods. Examples: Produce harvested; live animals born and raised; or minerals extracted all from either Canada, United States or Mexico.
- B. The goods are produced entirely in one or more of the NAFTA territories, and meet a specific rule of origin. Use of this criterion indicates that one of the NAFTA rules of origin was met, this can include a tariff shift, Regional Value Content (RVC), or a combination of the two. This means that the goods can be made in a NAFTA territory from non-originating materials as long as the non-originating materials meet a rule of origin change during production. Criterion B is the most commonly used criterion.
- C. The goods are produced entirely in a NAFTA territory exclusively from originating materials. Example: A desk made in Canada, with all of the components wholly obtained or produced in Canada.
* The difference between Criterion B & C is: Criterion B is used when goods are produced in a NAFTA territory partly or completely with non-NAFTA materials (the non-NAFTA materials were transformed during the production process in the NAFTA territory, meeting a rule of origin to qualify). Criterion C is used when the materials used to produce the goods are originating in a NAFTA territory, and each component meets NAFTA qualification on it's own.
* The different between Criterion A & C is: Criterion A is goods that were obtained, grown or raised in a NAFTA territory. Criterion C is for goods that were produced, made or manufactured in a NAFTA territory.
- D. The goods don't meet NAFTA rules of origin on their own, however they meet NAFTA in their assembled state. Example: The goods were imported into a NAFTA territory in an unassembled or disassembled state but were classified according to GIR 2(a) as an assembled good. An example of this would be a bicycle shipped unassembled with its parts not individually meeting a rule of origin under NAFTA, but when assembled it meets a rule of origin and can be considered originating in a NAFTA territory. It is rare that Criterion D is used on a NAFTA.
- E. Certain automatic data processing goods, and their parts that do not originate in one of the NAFTA territory. There is a list of applicable tariff items under Criterion E found in Annex 308.1 of the NAFTA agreement. When all three NAFTA territories charge the same rate of duty for any of the goods listed in Annex 308.1, goods imported into one of the NAFTA territories from any other NAFTA territory are considered originating.
- F. Applies to agricultural provisions of NAFTA that were not negotiated trilaterally, and therefore there are separate agreements between two of the three NAFTA countries.

**NORTH AMERICAN FREE TRADE AGREEMENT
CERTIFICATE OF ORIGIN**

19 CFR 181.11, 181.22

1. EXPORTER NAME, ADDRESS AND EMAIL Full Legal Name and Address of the Exporter of the goods, as well as an email address where they can be reached. TAX IDENTIFICATION NUMBER: Exporter's Tax ID	2. BLANKET PERIOD				
	FROM (mm/dd/yyyy) start date				
	TO (mm/dd/yyyy) end date				
3. PRODUCER NAME, ADDRESS AND EMAIL Full Legal Name and Address where the goods were produced, as well as an email address where they can be reached. TAX IDENTIFICATION NUMBER: Producer's Tax ID	4. IMPORTER NAME, ADDRESS AND EMAIL Full Legal Name and Address of the Importer of the Goods, as well as an email address where they can be reached. TAX IDENTIFICATION NUMBER: Importers Tax ID				

5. DESCRIPTION OF GOOD(S)	6. HS TARIFF CLASSIFICATION NUMBER	7. PREFERENCE CRITERION	8. PRODUCER	9. NET COST	10. COUNTRY OF ORIGIN
A detailed description of the goods	Minimum first 6 digits of the HS classification. Depending on a variety of situations, the 8 or 10 digit HS could be required.	The criterion under which the goods qualify for NAFTA, A-F.	Yes or no. If no, must be followed by 1, 2 or 3 to indicate knowledge of how the goods qualify for NAFTA.	NC or No. NC indicates that the Net Cost Method has been used to determine RVC to qualify. No indicates that RVC was not used to qualify.	Country the goods were made in. CA, US, MX or JNT.

I CERTIFY THAT:

- THE INFORMATION ON THIS DOCUMENT IS TRUE AND ACCURATE AND I ASSUME THE RESPONSIBILITY FOR PROVING SUCH REPRESENTATIONS. I UNDERSTAND THAT I AM LIABLE FOR ANY FALSE STATEMENTS OR MATERIAL OMISSIONS MADE ON OR IN CONNECTION WITH THIS DOCUMENT;
- I AGREE TO MAINTAIN AND PRESENT UPON REQUEST, DOCUMENTATION NECESSARY TO SUPPORT THIS CERTIFICATE, AND TO INFORM, IN WRITING, ALL PERSONS TO WHOM THE CERTIFICATE WAS GIVEN OF ANY CHANGES THAT COULD AFFECT THE ACCURACY OR VALIDITY OF THIS CERTIFICATE;
- THE GOODS ORIGINATED IN THE TERRITORY OF ONE OR MORE OF THE PARTIES, AND COMPLY WITH THE ORIGIN REQUIREMENTS SPECIFIED FOR THOSE GOODS IN THE NORTH AMERICAN FREE TRADE AGREEMENT AND UNLESS SPECIFICALLY EXEMPTED IN ARTICLE 411 OR ANNEX 401, THERE HAS BEEN NO FURTHER PRODUCTION OR ANY OTHER OPERATION OUTSIDE THE TERRITORIES OF THE PARTIES; AND
- THIS CERTIFICATE CONSISTS OF PAGES, INCLUDING ALL ATTACHMENTS.

11.	11a. AUTHORIZED SIGNATURE		11b. COMPANY Company Name that completed the NAFTA	
	11c. NAME Name of person who completed the NAFTA		11d. TITLE Title of person who completed the NAFTA	
	11e. DATE (mm/dd/yyyy) Date completed	11f. TELEPHONE NUMBERS (Voice) Phone # (Facsimile) Fax #	11g. EMAIL email address	



Canada (800) 991-4944
USA (888) 806-1156
www.buckland.com
csagroup@buckland.com

